

#### UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Sue FENG et al.	) Group Art Unit: 1615
Application No.: 10/699,780	) Examiner: Jyothsna A. VENKAT
Filed: November 4, 2003	
For: METHODS OF DISPERSING AT LEAST ONE COLORING AGENT USING AT LEAST ONE HETEROPOLYMER	) Confirmation No.: 5902

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Office the documents listed on the attached Form PTO/SB/08. This Information

Disclosure Statement is being filed after the events recited in 37 C.F.R. § 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, a Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by 37 C.F.R. § 1.17(p).

Copies of the listed documents are attached, excluding (1) any U.S. Patents,

U.S. Patent Application Publications, and co-pending U.S. Patent applications that are

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available electronically or through the Image File Wrapper System, and (2) any

documents previously submitted in a prior application, Application Serial No. 09/971,028, filed October 5, 2003, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. Applicants respectfully request that the Office consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

#### **Submitted Copies of Office Actions**

The United States Court of Appeals for the Federal Circuit held in *Dayco*Products, Inc. v. Total Containment, Inc., 329 F.3d 1358 (Fed. Cir. 2003), that an "adverse decision" by another examiner may meet the materiality standard under amended 37 C.F.R. § 1.56 and, thus, applicants should disclose prior rejections of "substantially similar claim[s]" to the Office. Accordingly, although Applicants do not represent that the Office Actions in the co-pending applications are material to the present application and do not admit that any of the other claims are substantially similar, out of an abundance of caution Applicants have listed the substantive Office Actions issued in co-pending applications on the attached PTO/SB/08. At the Office's request, Applicants have not included copies of those Office Actions issued in the copending applications by the present Examiner of this application.

#### Non-English Language References

With respect to the following non-English language documents listed on the attached Form PTO/SB/08, Applicants provide the following information regarding English-language abstracts and translations:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Application Serial No. 10/699,780 Attorney Docket No. 05725.0895-02000

1. <u>DE 195 43 988 A1</u> - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document was identified on the International Search Report in PCT/FR01/03726, which was submitted previously.

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- 2. <u>DE 199 51 010 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document was identified on the International Search Report in PCT/FR01/03726, which was submitted previously.
- 3. <u>DE 38 43 892 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document was identified on the International Search Report in PCT/FR01/03726, which was submitted previously. This document is believed to be related to U.S. Patent No. 5,061,289.
- 4. <u>DE 42 08 297 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document was identified on the International Search Report in PCT/FR01/03726, which was submitted previously.
- 5. **DE 42 34 886 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document was identified on the International Search Report in PCT/FR01/03726, which was submitted previously.

- 6. **EP 0 169 997 B1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent No. 4,620,492, which is also submitted herewith.
- 7. <u>EP 0 749 748 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document was identified on the International Search Report in PCT/FR01/03726, which was submitted previously. This document is believed to be related to U.S. Patent No. 5,769,902.
- 8. FR 2 796 272 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to FR 2 796 271, which is the French Priority Application for copending U.S. Patent Application Serial No. 09/618,066 and was submitted previously.
- 9. FR 2 796 273 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent No. 6,402,408 B1.
- 10. FR 2 804 017 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2001/0031280 A1, which was submitted previously.
- 11. **FR 2 804 018** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to WO 01/52799 A1, which was submitted previously.

- 12. **FR 2 810 562** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to WO 01/97773 A1, which is also submitted herewith.
- 13. FR 2 811 225 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent No. 6,432,391 B1.
- 14. FR 2 817 739 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0026772 A1, which was submitted previously.
- 15. **FR 2 817 740** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0044367 A1.
- 16. FR 2 817 743 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0189030 A1.
- 17. FR 2 819 399 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0172696 A1.
- 18. **FR 2 819 400** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to WO 02/055031 A1, which was submitted previously.

- 19. <u>JP 10/120903</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 20. <u>JP 11/335228</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 21. <u>JP 11/335242</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 22. <u>JP 11/335254</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 23. <u>JP 2000038314 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 24. <u>JP 2000038316 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to EP 0 958 805 A2, which is also submitted herewith.
- 25. <u>JP 2000038317 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to EP 0 958 805 A2, which is also submitted herewith.
- 26. <u>JP 2000038321 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 27. <u>JP 2000086427 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to EP 0 958 804 A2, which is also submitted herewith.
- 28. <u>JP 2000086429 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

- 29. <u>JP 2000086438 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 30. <u>JP 50/58242</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract for JP 78 043577, which is submitted herewith.
- 31. JP 9/20631 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 32. WO 01/97773 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0161807 A1.
- 33. WO 02/056847 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0172696 A1, which was submitted previously.
- 34. WO 02/056848 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0192168 A1, which was submitted previously.

- 35. WO 02/47622 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0168335 A1.
- 36. WO 02/47629 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0189030 A1.
- 37. <u>WO 02/47630</u> An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0012764 A1.
- 38. <u>WO 98/22078</u> An English language Abstract of this document can be found on the front of this document. This document was identified on the International Search Report in PCT/FR01/03726, which was submitted previously. This document is believed to be related to U.S. Patent No. 6,171,347.
- 39. <u>WO 86/04916</u> An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent No. 5,268,029.

- 40. <u>JP 53/043577</u> An abstract of the disclosure of this previously submitted document can be found in the English language abstract from the Patent Abstracts of Japan, which was submitted previously. In the Information Disclosure Statement of November 4, 2003, this abstract was inadvertently listed as a Derwent abstract; thus, Applicants now properly list this document for the Office's consideration. This document was identified on the International Search Reports in PCT/IB00/02002 and PCT/IB01/02786, which were submitted previously.
- 41. <u>JP 56/123909</u> An abstract of the disclosure of this previously submitted document can be found in the English language abstract from the Patent Abstracts of Japan, which was submitted previously. In the Information Disclosure Statement of November 4, 2003, this abstract was inadvertently listed as a Derwent abstract; thus, Applicants now properly list this document for the Office's consideration. This document was identified on the International Search Report in PCT/IB01/02786, which was submitted previously.
- 42. <u>JP 53/166276</u> An abstract of the disclosure of this previously submitted document can be found in the English language abstract from the Patent Abstracts of Japan, which was submitted previously and which abstract was identified on the International Search Report in PCT/FR02/00144 and French Search Report in FR 0100623, which were submitted previously. In the Information Disclosure Statement of November 4, 2003, this abstract was inadvertently listed as a Derwent abstract; thus, Applicants now properly list this document for the Office's consideration. This document was identified on the International Search Report in PCT/FR02/00129 and French Search Report in FR 0100620, which were submitted previously.

Applicant notes that, in the Information Disclosure Statement dated November 4, 2003, through an inadvertent error EP 0 984 025 A2 was listed as a non-English-language document for which an English abstract and English counterpart were provided. Applicant has now properly listed this document on the attached Form PTO/SB/08 as an original English-language document.

#### Co-Pending U.S. Litigation

Further to the Notification of Pending Litigation filed July 23, 2004, Applicants submit two documents related to the patent infringement action styled *L'Oreal S.A. v. Estee Lauder Companies, Inc.*, Civ. No. 04-1660 (HAA) (D.N.J filed April 4, 2004). The first document is a copy of the Court docket. The second document is a copy of Estée Lauder's Response to Plaintiffs' First Set of Interrogatories (Nos. 1-6). Both of these documents are enclosed with this Information Disclosure Statement and listed on the Form PTO/SB/08 for the Office's consideration.

#### Conclusion

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Office applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office with the relevant facts and law regarding the appropriate status of such documents.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Application Serial No. 10/699,780 Attorney Docket No. 05725.0895-02000

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Information Disclosure Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: March 22, 2005

Michelle E. O'Brier Reg. No. 46,203

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IDS Form PTO/S	B/08: Substitute for for	m 1449A/P O	110.0	C	omplete if Known
		m	IAK 2 2 2005	Application Number	10/699,780
INFO	ORMATION D	DISCLOBIL	RF .	. Filing Date	November 4, 2003
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t	(Use as many sheets			Examiner Name	Jyothsna A. VENKAT
\$heet	1	of	12	Attorney Docket Number	05725.0895-02000

		U.S. PATENTS	AND PUBLISHE	D U.S. PATENT APPLICAT	TIONS
Examiner Initials	Cite No.	Document Number  Number-Kind Code (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,620,492	11/4/1986	Vogg et al.	, garage pro-
		4,952,245	8/28/1990	Iwano et al.	
		5,034,219	7/23/1991	Deshpande et al.	
		5,061,289	10/29/1991	Clausen et al.	
		5,073,364	12/17/1991	Giezendanner et al.	
		5,085,859	2/4/1992	Halloran et al.	
	_	5,196,260	3/23/1993	Dirshl et al.	
		5,268,029	12/7/1993	Demangeon et al.	
-	_	5,302,398	4/12/1994	Egidio et al.	
		5,486,431	1/23/1996	Tuttle et al.	
		5,489,431	2/6/1996	Ascione et al.	
		5,538,793	7/23/1996	Inokuchi et al.	
		5,620,693	4/15/1997	Piot et al.	
		5,628,029	12/7/1993	Demangeon et al.	
		5,750,127	5/12/1998	Rokitowski	
		5,769,902	6/23/1988	Samain	
		5,783,657	7/21/1998	Pavlin et al.	
		5,830,444	11/3/1998	Miguel	
	_	5,830,483	11/3/1998	Seidel et al.	
		5,902,592	5/11/1999	Bara et al.	
	.,	5,908,631	6/1/1999	Arnaud et al.	
		5,961,998	10/5/1999	Arnaud et al.	
		5,972,095	10/26/1999	Graves et al.	
		5,976,514	11/2/1999	Guskey et al.	
-		5,985,298	11/16/1999	Brieva et al.	
		6,001,980	12/14/1999	Borzo et al.	
·		6,007,799	12/28/1999	Lee et al.	
		6,019,962	2/1/2000	Rabe et al.	

Examiner	 Date	
Signature	Considered	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	12
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C	Complete if Known						
Application Number	10/699,780						
Filing Date	November 4, 2003	-					
First Named Inventor	Sue FENG et al.						
Art Unit	1615						
Examiner Name	Jyothsna A. VENKAT						
Attorney Docket Number	05725.0895-02000						
	Application Number Filing Date First Named Inventor Art Unit Examiner Name	Application Number 10/699,780  Filing Date November 4, 2003  First Named Inventor Sue FENG et al.  Art Unit 1615  Examiner Name Jyothsna A. VENKAT					

		U.S. PATENTS	AND PUBLISHE	D U.S. PATENT APPLICAT	TIONS
Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No.	Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		6,045,782	4/4/2000	Krog et al.	
		6,045,823	4/4/2000	Vollhardt et al.	
		6,066,328	5/23/2000	Ribier et al.	
		6,106,820	8/22/2000	Morrissey et al.	
		6,156,804	12/5/2000	Chevalier et al.	
		6,165,454	12/26/2000	Patel et al.	
-		6,171,347	1/9/2001	Kunz	
		6,180,117 B1	1/30/2001	Berthiaume et al.	
	_	6,180,123 B1	1/30/2001	Mondet	
		6,214,329 B1	4/10/2001	Brieva et al.	
		6,221,389 B1	4/24/2001	Cannell et al.	
		6,224,851 B1	5/1/2001	Bara	
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		6,682,748 B1	1/27/2004	De La Poterie et al.	
		6,726,917 B2	4/27/2004	Kanji et al.	
		US 2001/0014312 A1	8/16/2001	Nakanishi et al.	
		US 2002/0058053	5/16/2002	Nakanishi et al.	
		US 2002/0081323	6/27/2002	Nakanishi et al.	

Examiner	Date	
Signature	 Considered	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of

Complete if Known						
Application Number	10/699,780					
Filing Date	November 4, 2003					
First Named Inventor	Sue FENG et al.					
Art Unit	1615					
Examiner Name	Jyothsna A. VENKAT					
Attorney Docket Number	05725.0895-02000					

		U.S. PATENTS	AND PUBLISHE	D U.S. PATENT APPLICAT	IONS
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		US 2002/0102225	8/1/2002	Hess et al.	
		US 2002/0114771 A1	8/22/2002	Nakanishi	
		US 2002/0131947 A1	9/19/2002	Nakanishi	
		US 2002/0141958 A1	10/3/2002	Maio et al.	
	_	US 2002/0159964 A1	10/31/2002	Nakanishi et al.	
		US 2003/0086883 A1	5/8/2003	Feng et al.	
		US 2003/0147837 A1	8/7/2003	Cavazzuti et al.	
		US 2003/0161807 A1	8/28/2003	Lemann	
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		US 2003/0185780 A1	10/2/2003	Ferrari et al.	
		US 2003/0198613 A1	10/23/2003	Feng et al.	
	-	US 2004/0013625 A1	1/22/2004	Kanji	
		US 2004/0028636 A1	2/12/2004	Collin	
		US 2004/0042980 A1	3/4/2004	Kanji et al.	
		US 2004/0086478 A1	5/6/2004	Ferrari	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document  Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation	
		DE 195 43 988 A1	5/28/1997			Abstract	
		DE 199 51 010 A1	4/26/2001			Abstract	
		DE 38 43 892 A1	6/28/1990			Abstract	
		DE 42 08 297 A1	9/23/1993	· · · · · · · · · · · · · · · · · · ·		Abstract	

Examiner	Date	
Signature	Considered	 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(Use as many sheets as necessary)

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Attorney Docket Number	05725.0895-02000		

			FOREIGN PA	TENT DOCUMENTS		
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		DE 42 34 886 A1	4/21/1994			Abstract
		EP 0 169 997 B1	2/5/1986	Vogg et al.		Abstract
		EP 0 749 748 A1	12/27/1996			Abstract
		EP 0 958 804 A2	11/24/1999			English
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		EP 1 062 944 A1	12/27/2000			English
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		FR 2 796 272	1/19/2001	Ferrari et al.		Abstract
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		FR 2 811 225	1/11/2002	Bara		Abstract
		FR 2 817 739	6/14/2002	Jager et al.		Abstract
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		FR 2 819 399	7/19/2002	Ferrari		Abstract
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Examiner	Date	
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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation		
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Examiner		Date	
Signature		Considered	_

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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10/699,780			
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Sue FENG et al.			
1615			
Jyothsna A. VENKAT			
05725.0895-02000			
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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sue FENG et al.			
1615			
Jyothsna A. VENKAT			
05725.0895-02000			

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Application Number	10/699,780	
Filing Date	November 4, 2003	
First Named Inventor	Sue FENG et al.	
Art Unit	1615	
Examiner Name	Jyothsna A. VENKAT	
Attorney Docket Number	05725.0895-02000	

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Application Number	10/699,780	
Filing Date	November 4, 2003	
First Named Inventor	Sue FENG et al.	
Art Unit	1615	
Examiner Name	Jyothsna A. VENKAT	
Attorney Docket Number	05725.0895-02000	

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	Signature		

Complete if Known IDS Form PTO/SB/08: Substitute for form 1449A/PTO 10/699,780 Application Number Filing Date November 4, 2003 INFORMATION DISCLOSURE First Named Inventor Sue FENG et al. STATEMENT BY APPLICANT Art Unit 1615 Jyothsna A. VENKAT (Use as many sheets as necessary) Examiner Name 05725.0895-02000 Sheet 10 12 Attorney Docket Number

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Origins Full StoryTM Lush lash mascara product packaging, believed to have first been sold in 2003	

Examiner	Date	
Signature	Considered	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO		Complete if Known			
				Application Number	10/699,780
INFORMATION DISCLOSURE				Filing Date	November 4, 2003
STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	Sue FENG et al.
			44.	Art Unit	1615
				Examiner Name	Jyothsna A. VENKAT
Sheet	12	of	12	Attorney Docket Number	05725.0895-02000

T-	NON PATENT LITERATURE DOCUMENTS
	PCT Application No. PCT/US03/41618; Attorney Docket No. 5725.1338-00-304 Title: COSMETIC COMPOSITIONS COMPRISING A STRUCTURING AGENT, SILICONE POWDER AND SWELLING AGENT Inventors: Shao Xiang LU, Terry VAN LIEW, Nathalie GEFFROY-HYLAND International Filing Date: December 22, 2003
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Examiner	Date	
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